

Date: September 25, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1450, Alexandria, VA 22313-1450.

Patent Application

Docket No.:

Applicant(s): Charu C. Aggarwal YOR920030107US1

Serial No.:

10/601,215

Filing Date: Group:

June 20, 2003

Examiner:

To Be Assigned To Be Assigned

Title:

Method and Apparatus for Classifying Time Series Data

Using Wavelet Based Approach

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant's attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

- 1. J. Gehrke et al., "BOAT-Optimistic Decision Tree Construction," SIGMOD Conference, 12 pages, 1999.
- 2. J. Gehrke et al., "Rainforest A Framework for Fast Decision Tree Construction of Large Datasets," VLDB Conference, 12 pages, 1998.
- 3. J. Gehrke et al., "Data Mining With Decision and Regression Trees," ACM SIGKDD Conference Tutorial, slides KDD99 T1-1 through KDD99 T1-134, 70 pages, 1999.
- 4. D.A. Keim et al., "Wavelets and their Applications in Databases," ICDE Conference, pp.1-60, 2001.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit International Business Machines Corporation Deposit Account No. 50-0510 as required to correct the error.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Date: September 25, 2003

Respectfully submitted,

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FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR APPLICANT'S INFORMATION **DISCLOSURE STATEMENT**



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			U.S. PATENT DOCU	MENTS		
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	FILING DATE IF APPROPRIATE	
EVAMINED		F	OREIGN PATENT DO	CUMENTS		
EXAMINER INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	TRANSLATION YES NO	
			OTHER DOCUM	ENTS		
EXAMINER INITIAL		THOR, TITLE, DAT	E, PERTINENT PAGES, ETC.			
1	l. J. Gehrke et al.,	"BOAT-Opti	mistic Decision Tree Con	nstruction," SIGMOD Conference,	12 pages, 1999	
2	2. J. Gehrke et al VLDB Conference	., "Rainfores e, 12 pages, 1	t - A Framework for Fas	t Decision Tree Construction of L	arge Datasets,'	
3	3. J. Gehrke et a Γutorial, slides ΚΙ	al., "Data Mi DD99 T1-1 th	ning With Decision and rough KDD99 T1-134, 7	Regression Trees," ACM SIGKI 70 pages, 1999.	DD Conference	
4	I. D.A. Keim et a	l., "Wavelets	and their Applications in	n Databases," ICDE Conference, p	p.1-60, 2001.	
Examiner				Date Considered		

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.